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May 9, 2003

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AF  
3628

PATENT

Paper No.

File: Newmrkt-P4-99

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventors : Anthony F. Herbst and Wayne F. Perg  
Serial No. : 09/467,646  
Filed : December 20, 1999  
For : DIGITAL COMPUTER SYSTEM FOR OPERATING A  
CUSTOMIZABLE INVESTMENT FUND  
Group Art Unit : 3628  
Examiner : Bui, Thach H.

**RECEIVED**

MAY 14 2003

**GROUP 3600**

Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

SIR:

Please enter the following enclosed documents in the above-identified patent  
application.


1. Appeal Brief (in triplicate)

Applicant claims small entity status. The Commissioner is hereby authorized to  
charge any fees associated with the above-identified patent application or credit any overcharges  
to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: May 9, 2003

  
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